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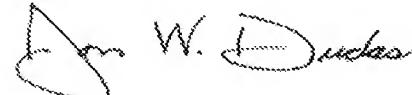
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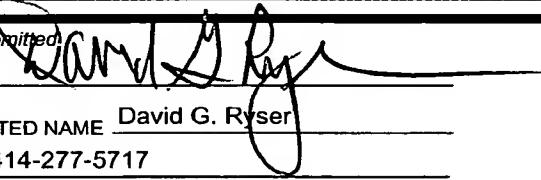
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PROVISIONAL APPLICATION FOR PATENT COVER SHEET

This is a request for filing a PROVISIONAL APPLICATION FOR PATENT under 37 CFR 1.53(c).

INVENTOR(S)			
Given Name (first and middle [if any])	Family Name or Surname	Residence (City and either State or Foreign Country)	
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Additional inventors are being named on the <u>1</u> separately numbered sheets attached hereto			
TITLE OF THE INVENTION (500 characters max)			
Exemestane and its Intermediates and Methods of Making the Same			
Direct all correspondence to:			
CORRESPONDENCE ADDRESS			
<input checked="" type="checkbox"/> Customer Number	26710		
OR			
Type Customer Number here			
<input type="checkbox"/> Firm or Individual Name			
Address			
Address			
City	State	ZIP	
Country	Telephone	Fax	
ENCLOSED APPLICATION PARTS (check all that apply)			
<input checked="" type="checkbox"/> Specification Number of Pages	12	<input type="checkbox"/> CD(s), Number	
<input type="checkbox"/> Drawing(s) Number of Sheets		<input checked="" type="checkbox"/> Other (specify)	Return Postcard
<input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76			
METHOD OF PAYMENT OF FILING FEES FOR THIS PROVISIONAL APPLICATION FOR PATENT			
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.	FILING FEE AMOUNT (\$)		
<input type="checkbox"/> A check or money order is enclosed to cover the filing fees			
<input checked="" type="checkbox"/> The Director is hereby authorized to charge filing fees or credit any overpayment to Deposit Account Number: 17-0055	80.00		
<input type="checkbox"/> Payment by credit card. Form PTO-2038 is attached.			
The invention was made by an agency of the United States Government or under a contract with an agency of the United States Government.			
<input checked="" type="checkbox"/> No.			
<input type="checkbox"/> Yes, the name of the U.S. Government agency and the Government contract number are: _____			

Respectfully submitted, 

Date 1 / 16 / 04

SIGNATURE

TYPED or PRINTED NAME David G. Ryser

REGISTRATION NO.
(if appropriate)
Docket Number:

TELEPHONE 414-277-5717

36,407

980479.00007

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INVENTOR(S)/APPLICANT(S)

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Number 2 of 2

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EXEMESTANE AND ITS INTERMEDIATES AND METHODS OF MAKING THE SAME

INTRODUCTION

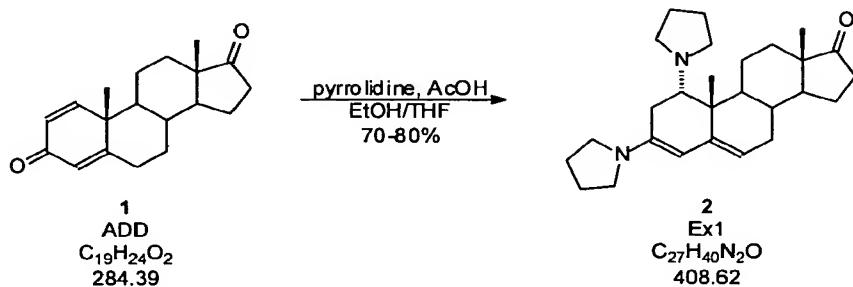
The goal of the development team was to develop a robust process for cGMP and eventual commercial production of multi-kilogram quantities of Exemestane. Once this goal had been achieved successfully, verification ranges were established and examined to establish suitable operating parameters. This report will discuss the current Exemestane process, highlight improvements, and review verification ranges.

Specific Objectives Included

- A. Examining methods of removal of 1,4,6-androstatrien-3,17-dione (ATD) from (+)-androsta-1,4-diene-3,17-dione (ADD) and implementing them if successful.
- B. Preparation of 1,3-dipyrrolidinoandrosta-3,5-diene-17-one (EX-1) from ADD, minimizing the amount of ADD remaining in the isolated EX-1.
- C. Preparation of 6-hydroxymethyl-androsta-1,4-diene-3,17-dione (EX-2) from EX-1, isolating the material in high purity and minimizing the amount of ADD present in isolated product.
- D. Preparation of 6-methanesulfonyloxymethyl-androsta-1,4-diene-3,17-dione (EX-3) from EX-2.
- E. Preparation of Exemestane from EX-3 that meets or exceeds established material specifications
- F. Determination of operation and verification ranges for the entire process for support of validation campaigns.

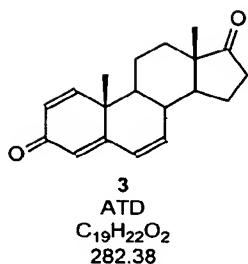
SUMMARY

STEP 1: Preparation of EX-1 from ADD



I. Identification of Major Impurity in ADD

The (+)-androsta-1, 4-diene-3, 17-dione (ADD) supplied for the process contained an impurity that was identified as androsta-1, 4, 6-trien-3, 17-dione (ATD) via LC-MS and comparison to authentic ATD. This impurity is presumably formed via over-oxidation of the desired ADD during the production process ChemPacific.



II. Removal of ATD from ADD

Treating an ethyl acetate solution of from (+)-androsta-1, 4-diene-3, 17-dione with activated carbon and re-isolation of the ADD provided little to no improvement in the purity of the material. Washing an ethyl acetate solution with aqueous NaHCO_3 (saturated), dilute NaOH (aqueous), 3 M NaOH (aq), 0.01 M HCl (aq), 6 N HCl (aq), and aqueous NaHSO_3 provided no observable improvement in the purity by HPLC area percent analysis (93%) (notebook No. 173, p. 66).

III. Preparation of EX-1 from ADD

The current optimized conditions utilize (+)-androsta-1,4-diene-3,17-dione (**1**), 12.2 equivalents pyrrolidine, catalytic acetic acid, denatured ethanol (95/5 ethanol/methanol) and 6-7% tetrahydrofuran (volume basis of total volume of ethanol and pyrrolidine) with heating to 30 to 40°C for a minimum of 16 hours. Once the ADD content is less than 3% by HPLC area, becomes static or EX-1 begins to revert to ADD the reaction mixture is cooled to $5 \pm 5^\circ\text{C}$, collected and washed with cold denatured ethanol. Yields are typically 70 - 80% on a dry basis with purities typically 90 - 95% by HPLC area percent.

The ranging studies for the preparation of 1,3-dipyrrolidinoandrosta-3,5-diene-17-one (**2**) included the following:

- A. Effect of purity of ADD (by weight percent analysis) on product yield and quality
- B. Reaction temperature
- C. Pyrrolidine stoichiometry
- D. Amount of THF
- E. Acetic acid stoichiometry
- F. Temperature for isolation of EX-1
- G. Hold time for cooled slurry of EX-1 prior to isolation
- H. Solvent for the preparation of EX-1 and subsequent washing(s)
- I. Drying temperature for isolated EX-1

Verification ranges are all based on expanded operating parameters from the cGMP campaigns.

Using ADD with a weight percent analysis of 92% afforded **2** in 73% isolated yield and a HPLC purity of 95% area (notebook No. 299, p. 47). By comparison, 99.5% weight ADD (from Aldrich) gave 82% EX-1 with a purity of 95% area by HPLC analysis (notebook No. 299, p. 57).

With comparable purities of **2** produced with **1** that was 92 or 98 weight percent ADD, the fate of the ATD (major impurity present in ADD from ChemPacific) was examined. Two experiments were performed: one using filtrates from EX-1 isolation (in ethanol) and the second with a slurry of isolated EX-1 in ethanol (notebook No.

299, p. 81). Each sample was treated with acetonitrile, methanol and excess aqueous KOH. After stirring at ambient temperature for 23 hours, most if not all, EX-1 was consumed in both cases. Hydrolysis of EX-1 filtrates produced a solution that was enriched in ATD relative to ADD 1. Solid EX-1 in ethanol produces ADD with 0.20% area of ATD present after basic hydrolysis.

Reaction temperature for the process ranges from 30 to 40°C, and the reaction can be run at a lower temperature of 20 °C but requires a longer time for completion. Under standard conditions, the preparation of EX-1 from ADD typically takes approximately 16 - 20 hours. The preparation of **2** at 20°C took 30 hours until ADD consumption leveled off and the reaction was considered complete (notebook No. 299, p. 16). The yield was 74% with a purity of 95% by HPLC area percent. Synthesis of **2** at 50 to 60°C (notebook No. 206, p. 75) provided the product in 84% isolated yield with a purity of 86% area by HPLC, which meets the specified purity level of > 80%.

Extension of the operation ranges for pyrrolidine stoichiometry from 11.4 to 13.1 equivalents (based on amount of ADD) to 9 to 15 equivalents proved to be acceptable for producing EX-1 of very good quality (> 90% by HPLC area). The preparation of **2** with nine equivalents of pyrrolidine provided the desired bis-enamine **2** in 77% isolated yield with a purity of 94% by HPLC area percent (notebook No. 299, p. 22). On the higher end of the verification range, 15 equivalents of pyrrolidine afforded EX-1 in 65% isolated yield with a purity of 93% area by HPLC area percent (notebook No. 285, p. 73).

Tetrahydrofuran is employed in the EX-1 preparation process to provide a stirrable slurry of EX-1 on crystallization of the desired product after seeding and sufficient reaction progress. The working range for the process is 6.6 to 7.2% of the combined volume of ethanol and pyrrolidine. Using 4 and 10% THF provided stirrable mixtures on seeding and subsequent reaction advancement, which is one criterion for an acceptable range in this case (notebook No. 285, p. 80 and No. 299, p. 40). Both percentages of THF afforded the desired product in 72% isolated yield and purities of 94% area and 96% by HPLC area percent respectively.

Acetic acid is used as a catalyst in the formation of **2** with typically 0.10 to 0.11 equivalents (relative to ADD) of acid being used. The lower end of the acetic acid ratio, 0.05 equivalents, provided **2** in 45% isolated yield with a purity of 95% by HPLC area percent (notebook No. 173, p. 70). The higher end, 0.50 equivalents, afforded the desired product in 70% isolated yield and purity of 95% area by HPLC analysis (notebook No. 285, p. 93).

Temperature for isolation of 1,3-dipyrrolidinoandrosta-3,5-diene-17-one (**2**) at < 0°C (notebook No. 299, p. 8) or 15°C (notebook No. 299, p. 54) had no observable impact on yield and product purity. This verification range study determined that the process range of 5 ± 5°C is acceptable, but that slightly warmer or colder temperatures are tolerated.

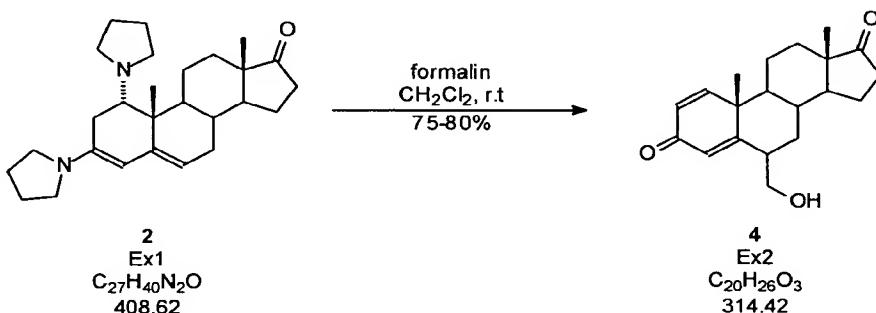
The process to prepare EX-1 used thus far has employed ethanol as the solvent, which has provided suitable material for use in the Exemestane process. Two of the more frequently used, commercially available denatured ethanol mixtures were examined in an effort to reduce costs (associated with taxes for 100% ethanol). Use of 95/5 ethanol/ isopropanol afforded EX-1 **2** in 64% isolated yield with a purity of 94% by HPLC area (notebook No. 299, p. 30). With 95/5 ethanol/methanol as the reaction solvent, **2** was obtained in 73% isolated yield with a purity of 95% by HPLC area

percent (notebook No. 299, p. 54). In both cases the reaction mixture was a stirrable slurry with no problems observed during isolation of the solid product.

Drying of EX-1 at ambient temperature was not investigated as the expectation was that it would provide adequate drying, only at much longer times, which would be inefficient. The operating range for the process is 27 to 43°C, so an upper temperature for verification of 55°C was selected. The wet cake of EX-1 was placed into a vacuum oven pre-heated to 55°C. Dried EX-1 was obtained in 70% isolated yield with a purity of 93% area by HPLC analysis (notebook No. 285, p. 100). The ADD content was at 1.5% area, indicating that partial hydrolysis had likely occurred on drying as the wet cake had an ADD content of 0.15% by HPLC analysis (notebook No. 285, p. 100).

Hold times for crystallization of EX-1 on reaction workup have been established for a minimum of three hours up to 16 hours (notebook No. 299, p. 16). Three hours is the time currently used for hold at 5 ± 5°C, and has been used in GMP production. Holding at this temperature for 16 hours provided the desired product in 74% isolated yield with a purity of 95% area by HPLC (notebook No. 299, p. 16).

STEP 2: Preparation of EX-2 from EX-1



Current conditions for the preparation employ 1 equivalent 1,3-dipyrrolidinoandrosta-3,5-diene-17-one (**2**) and 2.6 equivalents formalin (formaldehyde) in 10 mL dichloromethane/g of **2**. Workup consists of acidification of the reaction mixture to a pH of about 2, dilution with 2% sulfuric acid (aqueous, volume/volume basis) and removal of the organic layer which is then washed with 2% sulfuric acid (vol/vol) and 1:1 water/brine. Solvent exchange into toluene (approximately 10 mL/g theory EX-2) is then carried out and the product crystallizes as toluene exchange transpires. The product is collected, washed and dried to provide 6-hydroxymethyl-androsta-1,4-diene-3,17-dione (**4**) in yields of typically 75 - 80%. Purity is usually 96% or greater by HPLC area percent.

The ranges investigated for the verification included:

- A. Stoichiometry of formalin
- B. Reaction duration
- C. pH of the acidified reaction mixture
- D. Amount of toluene for solvent exchange and crystallization
- E. Endpoint of the distillation as determined by specific gravity of the distillate
- F. Drying temperature for the isolated product.

With higher and lower ratios of formalin to EX-1 isolated yields were lower but purities comparable to results from standard conditions (about 98 - 99% by HPLC area). Preparing EX-2 using 2.0 equivalents of formalin provided the desired product in 65% isolated yield

with a purity of 99.3% by HPLC area (notebook No. 273, p. 17). The upper portion of the range used 3.0 equivalents formalin and this amount afforded **4** in 67% isolated yield with a purity of 98.9% area by HPLC analysis (notebook No. 285, p. 83).

Reaction duration is important as impurities have been observed to increase as the reaction times lengthen (notebook No. 273, p. 29). The reaction is rapid, and is complete (as determined by consumption of enamine **2** by HPLC analysis) within 15 minutes after the addition of formalin to the vigorously stirred dichloromethane solution of EX-1 (notebook No. 273, p. 23). This process provided expected alcohol **4** in 76% isolated yield with a purity of 98.6% by HPLC area (notebook No. 273, p. 23). Allowing the reaction to continue for three hours gave EX-2 **4** in 85% yield with a purity of 98.3% by HPLC area (notebook No. 255, p. 55).

Initial acidification of the reaction mixture once **2** has been consumed is also important in providing better yields of EX-2. Workup of the EX-2 reaction mixture is carried out by treatment with 15% sulfuric acid (volume/volume) until the pH is about 2. Bringing the pH of the reaction mixture to a value of < 1 gave **4** in 78% isolated yield with a purity of 99.2% by HPLC area (notebook No. 285, p. 97). Acidification to a pH of 3 gave a yield of 75% with a purity of 99.3% area by HPLC (Notebook No. 273, p. 29). Allowing the pH to be 3.7 on workup afforded the alcohol **4** in a very slightly lower yield of 71% and a comparable purity of 99.2% HPLC area (notebook No. 299, p. 34).

Exchange of the dried dichloromethane solution of EX-2 into toluene provides the product as a solid which is collected, washed and dried. Standard conditions use a toluene loading of 11 - 13 mL per gram of theoretical yield of EX-2. Utilizing 7 mL/g of toluene gave the alcohol **2** in 76% yield on drying with a purity of 98.6% (notebook No. 273, p. 23). This result represents a slight decrease in purity and no significant change in isolated yield versus standard conditions. With 15 mL/g toluene, EX-2 was afforded in 54% isolated yield and an excellent purity of 99.4% area by HPLC (notebook No. 299, p. 12).

In order to easily monitor the endpoint of the solvent exchange (via distillation) to remove dichloromethane, the specific gravity of the distillate at the end of the transfer needs to be monitored. Concentration of the EX-2 solution to dryness then slurrying in toluene provided a low distillate specific gravity which was measured to be 0.879 g/mL (toluene density is 0.889 g/mL) (notebook No. 299, p. 37). This synthesis gave **4** in 79% isolated yield with a slightly lower purity of 97.6% area by HPLC analysis. Carrying out the solvent exchange under standard conditions provided EX-2 in 67% isolated yield and a purity of 99.5% (notebook No. 299, p. 26). In this case the specific gravity of the distillate was 1.02 g/mL, which is very close to the value of 1.04 g/mL used as the endpoint in the pilot plant. A higher value of 1.20 g/mL for the distillation specific gravity provides an acceptable batch of EX-2 in 69% isolated yield and a purity of 99.2% area by HPLC (notebook No. 299, p. 44).

Drying at 55°C or 72°C each provided product that is of high purity. In the case of drying EX-2 at 55°C, the yield of the product is 75% with an area percent purity of 99.4 (notebook No. 299, p. 5). At 72°C, **4** was obtained in 80% isolated yield and a purity of 99.4% by HPLC analysis (notebook No. 299, p. 51).

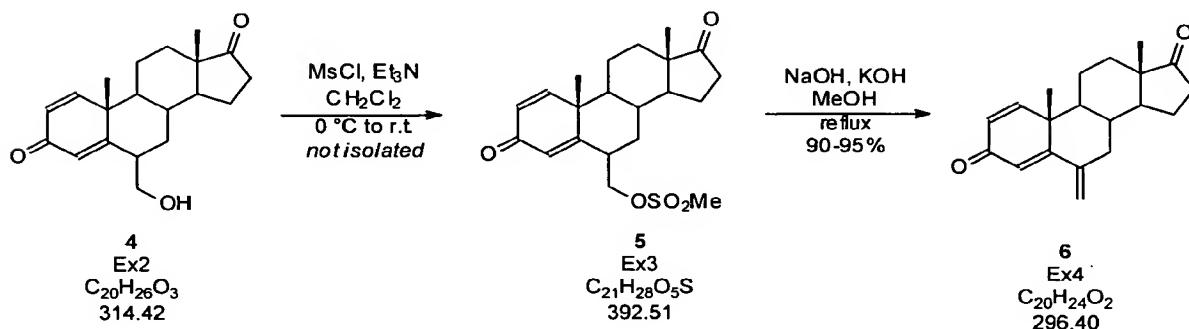
STEP 3: Preparation of Exemestane from EX-2

Exemestane is prepared from **4** via a two step process by proceeding through the mesylate intermediate **5** (as a solution in dichloromethane), which is then converted to the final product **6**. The mesylate **5** is not isolated but is used as provided after aqueous workup to afford Exemestane in excellent yield and purity.

To prepare intermediate **5**, a cold ($5 \pm 5^\circ\text{C}$) solution of 6-hydroxymethyl-androsta-1,4-diene-3,17-dione (**4**) is treated with triethylamine and methanesulfonyl chloride. On consumption of EX-2 the reaction mixture is washed with water, diluted with methanol and treated with aqueous KOH. The methylene chloride is removed via distillation and the elimination progresses concurrently. Reaction progress is monitored until the EX-3 remaining level is < 1% by HPLC analysis. On reaction completion, water is added to complete product precipitation and the solution cooled. The product is isolated, washed with 1:1 methanol/water, water, dried and then recrystallized from 1:1 ACN/water. The yields after drying are typically 80 - 90% with purities of 99.5+% by HPLC area analysis.

Parameters examined for the final step of the process were:

- A. Stoichiometry of triethylamine
- B. Stoichiometry of methanesulfonyl chloride
- C. Starting temperature of methanesulfonyl chloride addition
- D. EX-2 remaining level by HPLC area percent
- E. The methanol loading for the preparation of Exemestane
- F. Stoichiometry of potassium hydroxide
- G. Temperature of the elimination reaction
- H. EX-3 remaining level by HPLC area percent
- I. Specific gravity of collected distillate at end of methylene chloride removal
- J. Amount of water added for crystallization of **6**
- K. Hold time after water addition
- L. Percent LOD of wet cake of Exemestane prior to recrystallization
- M. Amount of acetonitrile used for recrystallization
- N. Amount of water used for recrystallization
- O. Drying temperature for Exemestane.



Investigation of the amount of triethylamine and methanesulfonyl chloride used to form the mesylate intermediate **5** demonstrated that as little as 1.1 equivalents of each (with respect to **4**) provides complete (less than 1% area **4** by HPLC) conversion to EX-3 (notebook No. 253, p. 38 and notebook No. 283, p. 51). Use of 1.5 equivalents of methansulfonyl chloride and 2.0

equivalents of triethylamine are also acceptable as demonstrated by Surveyor experiments (notebook No. 283, p. 51). Surveyor studies monitored the conversion EX-2 to EX-3 via HPLC area percent analysis and the EX-3 was not isolated or carried on to EX-4 (notebook No. 283, p. 51).

Examination of the temperature of methanesulfonyl chloride addition demonstrated that addition at either 0°C or 35°C (dichloromethane refluxes at 39 to 40°C) provides excellent conversion of EX-2 to intermediate **5** (notebook No. 283, p. 51).

As much as 3% by weight of EX-2 is tolerated in the conversion of EX-3 to EX-4 as it is removed on crystallization of the EX-4 from the reaction mixture and subsequent recrystallization of EX-4 (notebook No. 299, p. 61). This experiment produced material that was 99.9% pure by HPLC area in an isolated yield of 83% after recrystallization.

Methanol loading studies indicate that a methanol loading range of 8.4 mL/g to 8.7 mL/g of EX-2 is acceptable to provide Exemestane of good purity. From a Surveyor screening of the EX-4 preparation process a range of 7.5 mL/g to 9.5 mL/g is permissible and each provided a mixture that contained less than 1% EX-3 by HPLC area (notebook No. 283, p. 59).

Potassium hydroxide ratios of 1.05 and 1.3 equivalents were chosen as the verification for the preparation of Exemestane from **5** as the operating parameters are currently 1.1 to 1.2 equivalents of KOH for each mole of EX-2 used at the start of the process. Using 1.05 equivalents of base provided **6** in 94% isolated yield and a purity of 99.6% by HPLC area analysis (note book No. 285, p. 60). As a part of the Surveyor analysis of the EX-3 to Exemestane conversion process, 1.3 equivalents base was used to produce **6** with a purity of 99.1% by HPLC of the reaction mixture (notebook No. 288, p. 59).

Surveyor experimentation also showed that the reaction to produce Exemestane progresses at 25°C, but not at a useful rate (notebook No. 283, p. 60). After three to four hours (the typical timeframe for conversion from **5** to **6**), HPLC area percent showed 62% EX-3 remaining and 38% Exemestane present. Carrying out the elimination at 60°C (notebook No. 283, p. 59) had a reaction mixture that contained 0.18% area EX-3 and 0.84% methanol adduct impurity.

Starting material consumption is specified to be less than 1% of **5** by HPLC area analysis of a reaction aliquot in the Exemestane preparation process. An isolation and purification experiment where approximately 4% EX-3 was present in the crude reaction mixture was conducted and the product had 3% area EX-3 present after isolation (notebook No. 328, p. 23). Recrystallization afforded the desired steroid **6** in 83% yield (from EX-3) with a purity of 99.7% by HPLC area (0.22% area EX-3 is present as well).

Solvent exchange from dichloromethane into methanol is monitored by specific gravity for completion of the transfer. The target currently used for the Exemestane process is 1.04 g/mL and the range for verification of this part of the synthesis was from 0.791 g/mL (the density of methanol alone) to 1.20 g/mL. The conversion of **5** to **6** in methanol with no dichloromethane present is assumed to be the lowest possible specific gravity level possible for the distillation-exchange. In one such case, Exemestane was obtained in 88% yield with a purity of 99.4% area by HPLC and 99.1% weight by assay (notebook No. 273, p. 88). A higher collected distillate specific gravity endpoint afforded Exemestane of excellent quality. A specific gravity of 1.20 g/mL was used as the endpoint and the synthesis afforded Exemestane in 94% yield with a purity of 99.5% by HPLC (notebook No. 328, p. 27).

Once the level of **5** drops below 1% area by HPLC, the preparation of Exemestane is considered complete and 5.0 to 5.3 mL/g EX-2 water is charged to the reaction mixture to aid in product precipitation/crystallization. A range of 4.5 mL/g to 6.0 mL/g EX-2 of water was probed to determine suitability for use in the solidification process. Utilizing 4.5 mL water/g EX-2 provided 91% Exemestane with a purity of 99.4% area. Using 6.0 mL/g for the process produced **6** with an isolated yield of 85% and purity of 99.5% area after isolation and subsequent recrystallization.

Once the water has been added, the slurry that is obtained is cooled to $10 \pm 5^\circ\text{C}$ and held for a minimum of two hours in the current process. Shorter hold times of an hour and as long as 16 hours at $5 \pm 5^\circ\text{C}$ provide product in excellent yield and purities. Holding the methanol/water slurry of Exemestane at $0 \pm 5^\circ\text{C}$, after isolation, gave the product in 92%

isolated yield with a purity of 99.4% (notebook No. 328, p. 17). Without cooling and holding the slurry at $25 \pm 5^\circ\text{C}$ afforded Exemestane in 89% yield with an HPLC area purity of 99.4% (notebook No. 328, p. 20).

Hold times of one and sixteen hours were investigated for the cooled Exemestane slurry to determine the impact of on yield and/or purity. Holding for one hour at $5 \pm 5^\circ\text{C}$ afforded material in 92% isolated yield with a an HPLC area percent of 99.3% (notebook No. 299, p. 85). An extended hold of sixteen hours provided 89% Exemestane that has a purity of 99.5% by HPLC area (notebook No. 328, p. 14).

Recrystallization of wet (50% water by weight) Exemestane proceeded to give material in an excellent yield of 93% with a purity of 99.9% HPLC area (notebook No. 299, p. 93).

In terms of material quality, the current method for recrystallization for Exemestane performs very well. It calls for the use of 10 mL acetonitrile for each gram of crude Exemestane, so a range of 9 mL/g up to 15 mL/g was investigated. The experiment with 9 mL acetonitrile gave Exemestane with a purity of 99.9% by HPLC analysis and a yield of 94% (notebook No. 299, p. 95). Use of 15 mL/g for recrystallization gave a moderately reduced yield 87% and purity of 99.9% for the recovered Exemestane (notebook No. 299, p. 97).

The recrystallization method also calls for addition of 10 mL water per gram of crude Exemestane once a refluxing solution is obtained. There was little difference between the lower and upper ranges for water amounts that were examined. When 9 mL/g water was used, Exemestane was obtained in 93% isolated yield with an excellent purity of 99.9% according to HPLC area analysis (notebook No. 328, p. 1). With 15 mL/g water, the product was obtained in 95% yield with an HPLC area percent of 99.8 (notebook No. 328, p. 3). Drying of Exemestane with the current process is done at 80°C , which is required for adequate removal of acetronitrile. Up to 100°C can be tolerated for 18 hours, as evidenced by holding Exemestane in a vacuum oven set to this temperature (notebook No. 299, p. 99). This material had a purity of 99.8 % HPLC area and recovery of 92%.

DISCUSSION

STEP 1: Preparation of EX-1 from ADD

Utilizing higher purity ADD does not appear to noticeably impact the quality of 1,3-dipyrrrolidinoandrosta-3,5-diene-17-one (**2**) produced. Unless otherwise noted, the ranging studies were conducted with ADD that was found to be 92% weight. The only benefit observed was an increase in yield of isolated **2**, which increased to 82% (notebook No. 299, p. 57) from the typical 70 to 75% observed on lab scale. The only benefit would then be one of a moderately increased throughput of ADD to Exemestane as purity of **2** obtained were very similar. The purities of **2** produced was usually 93 to 95% area by HPLC analysis during the course of the ranging studies, and using higher quality ADD produced EX-1 with a purity of 95% area by HPLC analysis (notebook No. 299, p. 57).

Based on the hydrolysis results from treatment of two EX-1 samples with aqueous potassium hydroxide, **3** is converted to product(s) that are removed in the filtrates. ATD itself is not found to significant extent (> 0.50% by HPLC area) in the filtrates prior to hydrolysis. The presence of in the hydrolyzed filtrates and lack of significant amount (>1%) in the hydrolyzed EX-1 demonstrates that there are very little **3** and related products carried forward in the process.

Preparing EX-1 at warmer temperatures leads to a reduced conversion of ADD to EX-1, but does provide product of acceptable quality. The trend of improved conversions at lower

temperatures was noted very early in the process development phase of the Exemestane project.

Varying the ratio of pyrrolidine produced the expected results of impacting the yield of isolated bis-enamine **2** but not the quality. Using a lower amount of pyrrolidine resulted in a slight increase in yield (approximately 5%) with purity comparable to that obtained with the standard conditions. Increasing the amount of pyrrolidine to 15 equivalents lead to a decreased isolated yield (about 8% decrease) but comparable and acceptable purity of 93% area by HPLC.

Changes in the THF ratio were acceptable and provided EX-1 of good quality (> 90% area EX-1 by HPLC). Variance in the amount of acetic acid was not expected have a significant impact on the process due to the large (> 12 equivalents) amount of pyrrolidine present and observed results supported this preliminary hypothesis.

Utilization of a denatured ethanol mixture was anticipated to be allowed for use in the EX-1 process and that was confirmed by the use of ethanol/isopropanol and ethanol/methanol. The later was expected to provide a yield comparable or better than the process employing ethanol alone. The working hypothesis was that since the previous process for preparing EX-1 utilized water for aiding in additional precipitation of EX-1, then a more polar solvent mixture should provide a better yield of **2** over a less polar one. The data obtained indeed show a lower yield using the less polar ethanol/isopropanol mixture versus the more polar ethanol/methanol mixture. The former provided EX-1 in 64% isolated yield and the latter in 73% isolated yield. Both the ethanol/methanol and ethanol/isopropanol solvent mixtures for EX-1 synthesis were similar in quality of **2** produced, if not identical to the one using ethanol alone.

Drying EX-1 at 55°C provides material with less than < 2% area (by HPLC) ADD, but the higher ADD content than is typically observed on lab scale is due to drying in a hot oven with solvent and pyrrolidine remaining. Subsequent experiments indicated that by ramping the drying and holding the wet cake in an amber container better preserves the quality of EX-1 obtained. (notebook No. 299, p. 30).

An observation that the product dried in clear containers was usually darker in color than the EX-1 **2** dried in amber bottles. This result indicates that the product is light sensitive, especially the wet cake as the discoloration occurs the most on drying (qualitative assessment) (notebook No. 299, p. 30).

STEP 2: Preparation of EX-2 from EX-1

The reaction to prepare EX-2 provided the alcohol in excellent purity but slightly diminished yield using either 2.0 or 3.0 equivalents of formalin, indicating that a sizable range stoichiometry range is allowed for in this process. But, using the 2.6 equivalents currently specified will provide better yields and excellent quality product.

Keeping the reaction times short, i.e. one hour or less, is important to ensure that **4** is obtained in excellent purity. The reaction is typically complete within 15 minutes of formalin addition, so as a short a reaction time as is possible is preferred. Longer duration for the reaction eventually starts to degrade the purity of the isolated product, as evidenced by the three hour reaction to synthesize **4** which afforded material that was 98.3% purity by HPLC area.

Workup acidification from < 1 to 3.7 was found to provide product of very good purity, but the yield appears to decline at a higher pH. The target pH in production has been less than 3, and this data supports that target for acidification.

Using less toluene than the approximately 11 - 13 mL/g of EX-2 currently used in the process was expected to provide comparable or better yield along with similar or lower purity than the standard conditions. The yield of 76% and slightly lower purity of 98.6% fit the initial hypothesis. Using a higher loading of toluene would be anticipated to provide lower recovery and excellent purity of EX-2, and this result was observed. The yield of 4 was 54% and the white solid has a purity of 99.4% by HPLC analysis.

Use of specific gravity of collected distillate for the solvent exchange provides a good marker for completion of the solid transfer as the sample is homogeneous and is not adversely affected by blow-by. By concentrating the crude solution of EX-2 to dryness, a comparable or higher recovery of product was expected as there would be little dichloromethane remaining. The purity could remain about the same or decrease as the product was not as likely to gradually crystallize and instead would be more precipitation occurring. When this process was executed, a yield of 79% and a decreased purity of 97.6% area by HPLC area percent was observed. As expected, the effect of a higher distillate specific gravity was a slight decrease in yield (69%) and an excellent purity of 99.2% HPLC area.

The purpose in drying at higher temperatures than the operating range was to demonstrate that the material is stable under those conditions and that product of very good to excellent purity could be obtained. The results obtained show that temperatures higher than the operating range of 30 to 40°C are acceptable and have negligible impact on purity. The reason for the approach of examining a higher temperature is that drying on the lab scale is generally simpler and easier to perform than production scale drying.

STEP 3: Preparation of Exemestane from EX-2

The conversion of EX-2 to EX-3 is an efficient process that usually proceeds quickly using the standard conditions of 1.15 equivalents of methanesulfonyl chloride and 1.3 equivalents of triethylamine. This process requires dry solvents and reagents to ensure good conversion, as methanesulfonyl chloride is moisture sensitive. If conversion is incomplete (more than 1% EX-2 remaining by area), additional methanesulfonyl chloride and/or triethylamine can be added as needed. If the pH of the reaction mixture is acidic, then more triethylamine is required. If the solution is basic ($\text{pH} > 7$) and the area percent of EX-2 remains relatively constant, then addition of supplemental methanesulfonyl chloride is required.

Based on Surveyor studies, the temperature at the start of the addition of methanesulfonyl chloride the EX-2 solution can range from 0 to 35°C. As a safety concern, it is important to avoid a rapid exotherm and possible vigorous refluxing of the reaction mixture (i.e. keep the mixture cool).

Even though there is typically excellent conversion of EX-2 to EX-3, up to 3 weight percent EX-2 can be present as the Exemestane isolation and recrystallization process can remove it.

Methanol loadings for the preparation of Exemestane can range from 7.5 mL/g up to 9.5 mL/g of EX-2 used in the process. This range adequately covers the current operating range of 8.4 mL/g to 8.7 mL/g of EX-2, providing good quality crude product prior to isolation.

Base stoichiometry from 1.05 to 1.30 equivalents has negligible impact on the quality of Exemestane isolated, but higher base loadings are expected to produce more of the methanol adduct impurity more quickly as would higher temperatures. Refluxing the elimination

reaction mixture was acceptable in the lab, but close monitoring of reaction progress is critical under these more forcing conditions since the methanol adduct impurity forms during the latter portion of the **5** to **6** conversion process. Over time, conducting the elimination at 28°C would be expected to convert EX-3 to Exemestane, but very slowly.

Specific gravity of collected distillate is also an excellent marker here for determining the endpoint of the removal of dichloromethane and transfer into methanol. A range of 0.791 g/mL to 1.20 g/mL is well-tolerated according to lab experiments.

Water added for precipitation/crystallization the Exemestane, as well as removal of water soluble impurities, is well-tolerated for the range of 4.5 to 6.0 mL water per gram of EX-2. The product is obtained in good yields and excellent purities.

There was a very small Exemestane yield difference between the cooled (92) and uncooled (89) slurries, and no significant difference in purities of the material isolated (both were 99.4%). A definitive conclusion on whether or not cooling is required for improved recoveries of Exemestane cannot be made without more data.

Hold times had negligible impact on yield and purity of Exemestane. As a result, hold times of more than one hour at 5 ± 5°C are not required.

The prior presence of 50% water (by weight with respect to amount of Exemestane) does not noticeably impact the dissolution of Exemestane in refluxing acetonitrile and has had no impact on yield or purity of the recrystallized product. Drying of the crude Exemestane cake is not required, so long as there is not more than 50% weight water present. Additional water may or may not be tolerated during dissolution of **6** in refluxing acetonitrile.

Recrystallization solvent ratio ranges from nine to fifteen milliliters of acetonitrile and water for each gram of crude Exemestane did not impact the yield or purity significantly. There was perhaps a slight drop in yield of isolated Exemestane with a higher ratio of acetonitrile used and a small increase with a higher loading of water.

CONCLUSIONS

STEP 1: Preparation of EX-1 from ADD

The preparation of 1,3-dipyrrolidinoandrosta-3,5-diene-17-one (**2**) from (+)-androsta-1,4-diene-3,17-dione (**1**) has undergone considerable optimization to produce a product of consistent quality. One of the hurdles overcome was maintaining a stirrable reaction mixture as the product will crystallize out over time. One of the methods used to control reaction mixture was seeding the solution after several hours of heating which led to a gradual, more controlled crystallization as opposed to a rapid solidification that causes stirring to suddenly cease. Another important modification was the addition of a small percentage of tetrahydrofuran, which has the effect of thinning the heterogeneous mixture enough so as to allow for good stirring.

The impurity contained in (+)-androsta-1,4-diene-3,17-dione (**1**) was identified to be 1,4,6-androstatrien-3,17-dione (**2**) and experiments demonstrated that its presence does not adversely affect quality of EX-1 isolated. The product(s) resulting from the triene are very efficiently removed in the isolation and washing of **2**. As a result the triene and related materials are not carried forward in the process. The only benefit expected from higher

Development work has established that there is a good amount of tolerance within the numerous operating parameters for the EX-1 process. The observations and results observed

to date have provided the anticipated data in terms of yields and purities. The established process is robust and very reproducible and should lend itself well to validation.

STEP 2: Preparation of EX-2 from EX-1

There are several key improvements that were made in the process to prepare 6-hydroxymethyl-androsta-1,4-diene-3,17-dione (**4**) from 1,3-dipyrrolidinoandrosta-3,5-diene-17-one (**2**). The use of dichloromethane as a reaction solvent, acidification to a pH of approximately 2 and the solvent transfer from dichloromethane into toluene to provide crystalline EX-2 are the significant improvements in the synthesis of **4**. As a result of the considerable development work, the goal of delivering a scaleable process that produces excellent quality material with minimal to no ADD present was achieved. The presence of residual ADD had complicated the isolation of the finished API, Exemestane in previous campaigns.

The ranging studies carried out all indicated that the process as used is a reliable one that delivers high quality material on a consistent basis, as long as the process is kept within the established parameters. In certain cases, such as formalin stoichiometry and toluene ratios, the product yield will be impacted. In others like toluene ratio and distillate specific gravity, the purity can be very slightly impacted. These changes are not dramatic and strongly indicate that current operations using established ranges are well optimized.

STEP 3: Preparation of Exemestane from EX-2

The synthesis of Exemestane (**6**) from 6-hydroxymethyl-androsta-1,4-diene-3,17-dione (**4**) represents a dramatically improved process that does not require the isolation of 6-methanesulfonyloxymethyl-androsta-1,4-diene-3,17-dione (**5**). By avoiding the isolation of EX-3, yield losses are minimized and the process is more time efficient since drying of the organic solution, concentration and isolation of solid EX-3 is not needed.

As outlined, the current process delivers high quality Exemestane that after isolation requires only one recrystallization to meet established material specifications. Development of the 1:1 acetonitrile/water system for recrystallization was a significant improvement over previous recrystallization solvent systems. It removes the common, significant impurities such as EX-2, EX-3, ADD and the methanol adduct impurity very effectively.

The ranging studies demonstrated that the process to prepare Exemstane via **5** from **4** is a reproducible and reliable process when operating or verification range parameters are adhered to well. Yields are typically around 90% after isolation of **6** with recrystallization yields also at 90% in most cases. Purities are very good prior to recrystallization from 1:1 ACN/water and excellent following it.

More experiments will be required to determine whether or not subtle yield and purity differences observed are significant. These studies are needed for additional process optimization and not for API quality improvements.

At least some of the compounds disclosed herein have utility as anti-tumor agents.